

Deep Convolutional Learning for Content Based Image Retrieval

Maria Tzelepi, Anastasios Tefas

Aristotle University of Thessaloniki, Department of Informatics

Abstract

In this paper we propose a model retraining method for learning more efficient convolutional representations for Content Based Image Retrieval. We employ a deep CNN model to obtain the feature representations from the activations of the convolutional layers using max-pooling, and subsequently we adapt and retrain the network, in order to produce more efficient compact image descriptors, which improve both the retrieval performance and the memory requirements, relying on the available information. Our method suggests three basic model retraining approaches. That is, the Fully Unsupervised Retraining, if no information except from the dataset itself is available, the Retraining with Relevance Information, if the labels of the training dataset are available, and the Relevance Feedback based Retraining, if feedback from users is available. The experimental evaluation on three publicly available image retrieval datasets indicates the effectiveness of the proposed method in learning more efficient representations for the retrieval task, outperforming other CNN-based retrieval techniques, as well as conventional hand-crafted feature-based approaches in all the used datasets.

Keywords: Content Based Image Retrieval, Convolutional Neural Networks, Deep Learning.

Email addresses: mtzelepi@csd.auth.gr (Maria Tzelepi), tefas@aiaa.csd.auth.gr (Anastasios Tefas)

1. Introduction

Image retrieval is a research area of Information Retrieval [1] of great scientific interest since 1970s. Earlier studies include manual annotation of images using keywords and searching by text [2]. Content Based Image Retrieval (CBIR), [3], has been proposed in 1990s, in order to overcome the difficulties of text-based image retrieval, deriving from the manual annotation of images, that is based on the subjective human perception, and the time and labor requirements of annotation.

CBIR refers to the process of obtaining images that are relevant to a query image from a large collection based on their visual content [4]. Given the feature representations of the images to be searched and the query image, the output of the CBIR procedure includes a search in the feature space, in order to retrieve a ranked set of images in terms of similarity (*e.g.* cosine similarity) to the query representation. A key issue associated with CBIR is to extract meaningful information from raw data in order to eliminate the so-called semantic-gap [5]. The semantic-gap refers to the difference between the low level representations of images and their higher level concepts. While earlier works focus on primitive features that describe the image content such as color, texture, and shape, numerous more recent works have been elaborated on the direction of finding semantically richer image representations. Among the most effective are those that use the Fisher Vector descriptors [6], Vector of Locally Aggregated Descriptors (VLAD) [7, 8] or combine bag-of-words models [9] with local descriptors such as Scale-Invariant Feature Transform (SIFT) [10].

Several recent studies introduce Deep Learning algorithms [11] against the shallow aforementioned approaches to a wide range of computer vision tasks, including image retrieval [12, 13, 14, 15]. The main reasons behind their success are the availability of large annotated datasets, and the GPUs computational power and affordability. Deep Convolutional Neural Networks (CNN), [16, 17], are considered the more efficient Deep Learning architecture for visual information analysis. CNNs comprise of a number of convolutional and subsampling layers

31 with non-linear neural activations, followed by fully connected layers. That is,
32 the input image is introduced to the neural network as a three dimensional tensor
33 with dimensions (i.e., width and height) equal to the dimensions of the image and
34 depth equal to the number of color channels (usually three in RGB images). Three
35 dimensional filters are learned and applied in each layer where convolution is
36 performed and the output is passed to the neurons of the next layer for non-linear
37 transformation using appropriate activation functions. After multiple convolution
38 layers and subsampling the structure of the deep architecture changes to fully
39 connected layers and single dimensional signals. These activations are usually
40 used as deep representations for classification, clustering or retrieval.

41 Over the last few years, deep CNNs have been established as one of the most
42 promising avenues of research in the computer vision area due to their outstand-
43 ing performance in a series of vision recognition tasks, such as image classifi-
44 cation [18, 19], face recognition [20], digit recognition [21, 22], pose estimation
45 [23], and object and pedestrian detection [24, 25]. It has also been demonstrated
46 that features extracted from the activation of a CNN trained in a fully supervised
47 fashion on a large, fixed set of object recognition tasks can be re-purposed to novel
48 generic recognition tasks, [26]. Motivated by these results, deep CNNs introduced
49 in the vivid research area of CBIR. The primary approach of applying deep CNNs
50 in the retrieval domain is to extract the feature representations from a pretrained
51 model by feeding images in the input layer of the model and taking activation
52 values drawn either from the fully connected layers [27, 28, 29, 30] which are
53 meant to capture high-level semantic information, or from the convolutional lay-
54 ers exploiting the spatial information of these layers, using either sum-pooling
55 techniques [31, 32] or max-pooling [33]. Current research also includes model
56 retraining approaches, which are more relevant to our work, while other studies
57 focus on the combination of the CNN descriptors with conventional descriptors
58 like the VLAD representation. The existing related works are discussed in the
59 following section.

60 Our work investigates model retraining (also known as finetuning) approaches
61 in order to enhance the deep CNN descriptors for the retrieval task. We employ

62 a pretrained model to extract feature representations from the activations of the
63 convolutional layers using max-pooling, we properly adapt the model, and we
64 subsequently retrain it. By retraining we mean that we use the weights of a model
65 pretrained for classification, and we finetune them for a different task, instead of
66 training from scratch with randomly initialized weights, exploiting the idea that
67 a deep neural architecture can non-linearly distort the feature space in order to
68 modify the feature representations, with respect to the available information.

69 Based on the available information we propose three retraining approaches,
70 which are overall able to exploit any kind of available information:

- 71 • Fully Unsupervised Retraining (FU): if no information is available, except
72 for the dataset itself.
- 73 • Retraining with Relevance Information (RRI): if the labels of the dataset or
74 of a part of the dataset are available.
- 75 • Relevance Feedback-based Retraining (RF): if feedback from users is avail-
76 able.

77 Furthermore, since the FU approach can be applied in any case, we deploy
78 combinatory schemes, where the RRI and RF approaches can be applied on the
79 FU modified model, in a pipeline. In this fashion the FU retraining approach
80 operates as a pretraining step to the subsequent one.

81 Finally, this method uses retargeting for the learning phase, instead of triplet
82 loss, allowing for single sample training which is very fast and can be easily
83 parallelized and implemented in a distributed manner.

84 The remainder of the manuscript is structured as follows. Section 2 discusses
85 prior work. The proposed method is described in detail in Section 3. Experiments
86 are provided in Section 4. Finally, conclusions are drawn in Section 5.

87 **2. Prior Work**

88 In this Section we present previous CNN-based works for image retrieval.
89 Firstly, an evaluation of CNN features in various recognition tasks, including

90 image retrieval that improve the baseline performance using spatial information
91 is presented in [28]. In [27] an image retrieval method, where a CNN pretrained
92 model is retrained on a different dataset with relevant image statistics and classes
93 to the dataset considered at the test time and achieves improved performance, is
94 proposed. From a different viewpoint, in [30, 34], CNN activations at multiple
95 scale levels are combined with the VLAD representation. In [31], a feature aggre-
96 gation pipeline is presented using sum pooling. while in [32] a cross-dimensional
97 weighting and aggregation of deep convolutional neural network layer output is
98 proposed. An approach that produces compact feature vectors derived from the
99 convolutional layer activations that encode several image regions is proposed in
100 [33]. In [35], a three-stream Siamense network is proposed to optimize the weights
101 of the so-called R-MAC representation, proposed in [33], for the retrieval task,
102 using a triplet ranking loss. The public Landmarks dataset, that is also used in
103 [27], is utilized for the model training. In [36] a pipeline that uses the convolu-
104 tional CNN-features and the bag-of-Words aggregation scheme is proposed, while
105 in [37] the authors propose to exploit complementary strengths of CNN features
106 of different layers outperforming the concatenation of multiple layers. In [38],
107 the bilinear CNN-based architectures [39] are introduced in the CBIR domain
108 where a bilinear root pooling is proposed to project the features extracted from
109 the two parallel CNN models into a small dimension and the resulting model
110 is trained on image retrieval datasets using unsupervised training. In [40] a
111 new distance metric learning algorithm, namely weakly-supervised deep metric
112 learning, is proposed, for social image retrieval by exploiting knowledge from
113 community contributed images associated with user-provided tags. The learned
114 metric can well preserve the semantic structure in the textual space and the vi-
115 sual structure in the original visual space simultaneously, which can enable to
116 learn a semantic-aware distance metric. In [41], a Weakly-supervised Deep Matrix
117 Factorization framework is proposed for social image tag refinement, tag assign-
118 ment and image retrieval, that uncovers the latent image representations and
119 tag representations embedded in the latent subspace by collaboratively exploiting
120 the weakly-supervised tagging information, the visual structure and the semantic

121 structure.

122 A deep CNN is retrained with similarity learning objective function, consider-
123 ing triplets of relevant and irrelevant instances obtained from the fully connected
124 layers of the pretrained model, in [29]. A related approach has also been proposed
125 in the face recognition task which, using a triplet-based loss function, achieves
126 state-of-the-art performance, [42], while a relevant idea recently successfully in-
127 troduced in the cross-modal retrieval domain [43]. These approaches are using
128 triplet sample learning which is difficult to be implemented in large scale, and
129 usually active learning is used in order to select meaningful triplets that can in-
130 deed contribute to learning [42]. In our approach we extend these methodologies
131 by considering multiple relevant and multiple irrelevant samples in the training
132 procedure for each training sample. Additionally, we boost the training speed
133 by defining representation targets for the training samples and regression on the
134 hidden layers, instead of defining more complex loss functions that need three
135 samples for each training step. That is, our approach uses single sample train-
136 ing allowing for very fast and distributed learning. Furthermore, the proposed
137 method is also able to exploit the geometric structure of the data using unsuper-
138 vised learning, as well as to exploit the user's feedback using relevance feedback.
139 Finally, since our focus is to produce low-dimensional descriptors, which improve
140 both the retrieval time and the memory requirements, we apply our method on
141 convolutional layers using max-pooling techniques, as opposed to the previous
142 methodologies which utilize the fully-connected layers.

143 **3. Proposed Method**

144 In this work we consider image and video retrieval applications that should be
145 employed in machines with restricted resources in terms of memory and computa-
146 tional power, such as drones, robots, smartphones and other embedded systems.
147 In these cases, there are restrictions in terms of memory (*e.g.* only 2 Gb of
148 RAM in current state of the art GPUs for embedded systems) and in terms of
149 computational power (*e.g.* restricted number of processing cores in GPUs) since

150 energy efficiency and compactness constitutes a major issue. For the above rea-
151 sons current deep learning architectures that use a huge number of parameters
152 are inappropriate to be used in such applications even if the training procedure is
153 performed offline. For example, in the context of the media coverage of a certain
154 event with drones, a desirable operation would be to retrieve and show relevant
155 images to the ones captured from the drones of points of particular interest (e.g.
156 landmark buildings, monuments). This application would impose smaller and
157 faster architectures that could be deployed easier on-drone.

158

159 Towards this end, we exploit the ability of a deep CNN to modify its in-
160 ternal structure, and we propose a model retraining method that suggests three
161 approaches relying on the available information, aiming at producing efficient
162 low-dimensional image representations for the retrieval task, which improve both
163 the retrieval performance and the memory requirements.

164 We utilize the BVLC Reference CaffeNet model¹, which is an implementation
165 of the AlexNet model trained on the ImageNet Large Scale Visual Recognition
166 Challenge (ILSVRC) 2012 to classify 1.3 million images to 1000 ImageNet classes,
167 [18]. The model consists of eight trained neural network layers; the first five
168 are convolutional and the remaining three are fully connected. Max-pooling
169 layers follow the first, second and fifth convolutional layers, while the ReLU non-
170 linearity ($f(x) = \max(0, x)$) is applied to every convolutional and fully connected
171 layer, except the last fully connected layer (denoted as FC8). The output of the
172 FC8 layer is a distribution over 1000 ImageNet classes. The softmax loss is used
173 during the training. An overview of the CaffeNet architecture is provided in Fig.
174 1.

¹https://github.com/BVLC/caffe/tree/master/models/bvlc_reference_caffenet

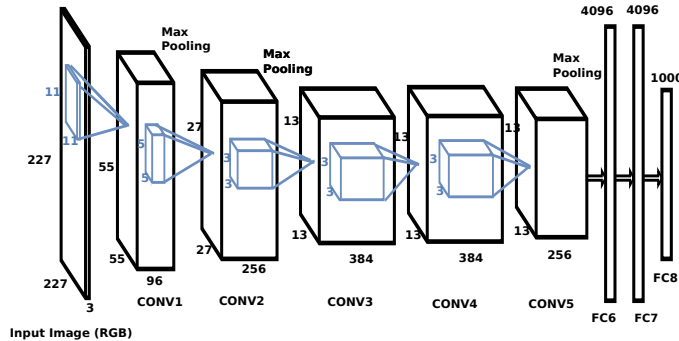


Figure 1: Overview of the CaffeNet Architecture

175 In general, the neural network accepts an RGB image as a three dimensional
 176 tensor of dimensions $W_1 \times H_1 \times D_1$. Subsequently three dimensional filters are
 177 learned and applied in each layer where convolution is performed, and output
 178 a three dimensional tensor of dimensions $W_2 \times H_2 \times D_2$, where D_2 is equal to
 179 the number of filters. The two-dimensional feature maps $W_2 \times H_2$, contain the
 180 responses of each filter at every spatial position. We employ the CaffeNet model
 181 to directly extract feature representations from a certain convolutional layer. We
 182 consider the activations after the ReLU layer. Since the representations obtained
 183 from a CNN model for a set of input images are adjustable by modifying the
 184 weights of the model, we retrain the parameters of the layer of interest relying
 185 on the available information. To this aim, we adapt the pretrained model by
 186 removing the layers following the convolutional layer utilized for the feature
 187 extraction, and we add an extra pooling layer, the so-called Maximum Activations
 188 of Convolutions (MAC) layer, which implements the max-pooling operation over
 189 the width and height of the output volume, for each of the D_2 feature maps, [33].
 190 Subsequently, we use the representations obtained from the MAC layer in order
 191 to build the new target representations for each image according to the retraining
 192 scheme, and we retrain the neural network using the Euclidean Loss for the
 193 formulated regression task. The retargeting procedure for each of the proposed
 194 approaches is described in the following subsections.

195 As mentioned previously, the proposed method utilizes the convolutional lay-

196 ers for the feature extraction, against the fully-connected ones [44]. The underly-
197 ing reasons behind this follow below. First, by definition the convolutional layers
198 preserve spatial information due to the spatial arrangement of the activations, as
199 opposed to the fully-connected ones which discard it since they are connected to
200 all the input neurons. Furthermore, usually the fully-connected layers of CNNs
201 occupy the most of the parameters, for instance, the fully-connected layers of
202 the utilized network contain 59M parameters out of a total of 61M parameters,
203 whereas in VGG [45] the fully connected layers contain 102M parameters out of a
204 total of 138M parameters. Thus, by discarding the fully-connected portion of the
205 network we drastically reduce the amount of the parameters and consequently we
206 restrict the storage requirements and the computational cost. Furthermore, this
207 modification also allows arbitrary-sized input images, since the fixed-length input
208 requirement concerns the fully-connected layers, and hence this allows for using
209 low-resolution images, which can be very useful in order to make our application
210 to comply with the limitations of various embedded systems, since it can further
211 restrict the computational cost. The advantages of the fully convolutional neural
212 networks are also discussed in [46]. Finally, we should also note that state-of-
213 the-art algorithms in the object detection task, like YOLO9000 [47] and SSD [48],
214 also use fully convolutional architectures, in order to improve the detection speed.

215 More specifically, in our experiments we use either the last convolutional
216 layer, denoted as CONV5, or the forth convolutional layer denoted as CONV4.
217 The dimension of the CONV5 layer is $13 \times 13 \times 256$ features, while the dimension
218 of the CONV4 layer is $13 \times 13 \times 384$ features. Thus, the MAC layer outputs either
219 a 256-dimensional coarse detailed feature representation, or a 384-dimensional
220 fine-detailed one, for each image, based on the utilized convolutional layer.

221 The proposed retraining method is schematically described in Fig. 2.

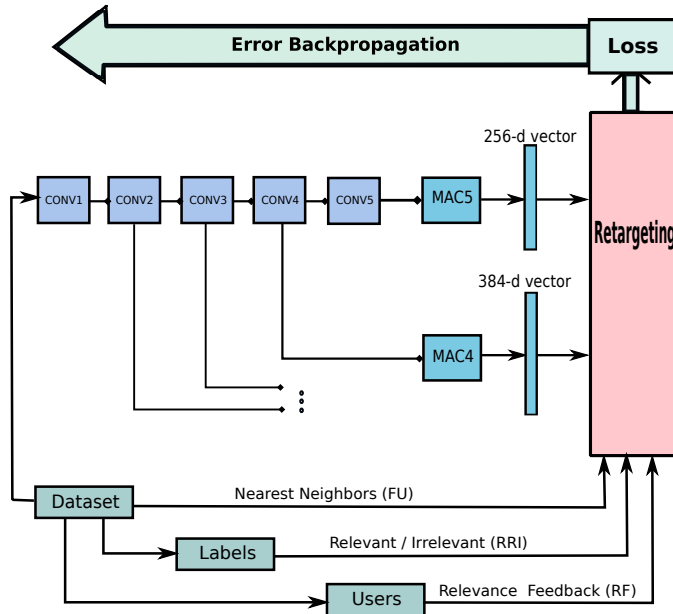


Figure 2: The proposed retraining method.

222 We should note that various pooling methods could also be used in the pro-
 223 posed approach. Some works in the literature utilize sum-pooling for aggregating
 224 the convolutional features to compact descriptors (e.g. [31]), while other use max-
 225 pooling (e.g. [33]). In our investigation we found that max-pooling is superior
 226 over sum and stochastic pooling. For example, in Table 1 we show the base-
 227 line CaffeNet’s results on the CONV5 layer for different pooling methods, in the
 228 UKBench-2 dataset. This is consistent with [31], which states that max-pooling
 229 achieves better performance, as compared to sum-pooling, while sum-pooling per-
 230 forms better only when the feature descriptors are PCA-whitened. These obser-
 231 vations are also drawn in [32, 33].

232 The three basic proposed retraining approaches are presented in detail in the
 233 following subsection.

234 3.1. Fully Unsupervised Retraining

235 In the FU approach, we aim to amplify the primary retrieval presumption that
 236 the relevant image representations are closer to the certain query representation

237 in the feature space. The rationale behind this approach is rooted to the cluster
 238 hypothesis which states that documents in the same cluster are likely to satisfy
 239 the same information need [49]. That is, we retrain the pretrained CNN model on
 240 the given dataset, aiming at maximizing the cosine similarity between each image
 241 representation and its n nearest representations, in terms of cosine distance.

Let us denote by $\mathcal{I} = \{\mathbf{I}_i, i = 1, \dots, N\}$ the set of N images to be searched, by
 $\mathcal{X} = \{\mathbf{x}_i, i = 1, \dots, N\}$ their corresponding feature representations emerged in the L
 layer, and by $\boldsymbol{\mu}^i$ the mean vector of the $n \in \{1, \dots, N - 1\}$ nearest representations
 to \mathbf{x}_i , denoted as $\mathcal{X}^i = \{\mathbf{x}_l^i, l = 1, \dots, N - 1\}$. That is,

$$\boldsymbol{\mu}^i = \frac{1}{n} \sum_{l=1}^n \mathbf{x}_l^i \quad (1)$$

242 The new target representations for the images of \mathcal{I} can be determined by
 243 solving the following optimization problem:

$$\max_{\mathbf{x}_i \in \mathcal{X}} \mathcal{J} = \max_{\mathbf{x}_i \in \mathcal{X}} \sum_{i=1}^N \frac{\mathbf{x}_i^\top \boldsymbol{\mu}^i}{\|\mathbf{x}_i\| \|\boldsymbol{\mu}^i\|} \quad (2)$$

244 We solve the above optimization problem using gradient descent. The first-
 245 order gradient of the objective function \mathcal{J} is given by:

$$\frac{\partial \mathcal{J}}{\partial \mathbf{x}_i} = \frac{\partial}{\partial \mathbf{x}_i} \left(\sum_{i=1}^N \frac{\mathbf{x}_i^\top \boldsymbol{\mu}^i}{\|\mathbf{x}_i\| \|\boldsymbol{\mu}^i\|} \right) = \frac{\boldsymbol{\mu}^i}{\|\mathbf{x}_i\| \|\boldsymbol{\mu}^i\|} - \frac{\mathbf{x}_i^\top \boldsymbol{\mu}^i}{\|\mathbf{x}_i\|^3 \|\boldsymbol{\mu}^i\|} \mathbf{x}_i \quad (3)$$

246 The update rule for the v -th iteration for each image can be formulated as:

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} + \eta \left(\frac{\boldsymbol{\mu}^i}{\|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}^i\|} - \frac{\mathbf{x}_i^{(v)\top} \boldsymbol{\mu}^i}{\|\mathbf{x}_i^{(v)}\|^3 \|\boldsymbol{\mu}^i\|} \mathbf{x}_i^{(v)} \right), \quad \mathbf{x}_i \in \mathcal{X} \quad (4)$$

247 Finally, we introduce a normalization step, in order to control better the
 248 learning rate, as follows:

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} + \eta \|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}^i\| \left(\frac{\boldsymbol{\mu}^i}{\|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}^i\|} - \frac{\mathbf{x}_i^{(v)\top} \boldsymbol{\mu}^i}{\|\mathbf{x}_i^{(v)}\|^3 \|\boldsymbol{\mu}^i\|} \mathbf{x}_i^{(v)} \right), \quad \mathbf{x}_i \in \mathcal{X} \quad (5)$$

249 Using the above representations as targets in the layer of interest, we formu-
 250 late a regression task for the neural network, which is initialized on the CaffeNet's

251 weights and is trained on the utilized dataset, using back-propagation. The Eu-
 252 clidean loss is used during training for the regression task. Thus, the procedure
 253 is integrated by feeding the entire dataset into the input layer of the retrained
 254 adapted model and obtaining the new representations.

255 3.2. Retraining with Relevance Information

256 In this approach we propose to enhance the performance of the deep CNN
 257 descriptors exploiting the relevance information deriving from the available class
 258 labels. To achieve this goal, considering a labelled representation (\mathbf{x}_i, y_i) , where
 259 \mathbf{x}_i is the image representation and y_i is the corresponding image label, we adapt
 260 the convolutional neural layers of the CNN model used for the feature extraction,
 261 aiming to maximize the cosine similarity between \mathbf{x}_i and the m nearest relevant
 262 representations, and simultaneously to minimize the cosine similarity between
 263 \mathbf{x}_i and the l nearest irrelevant representations, in terms of cosine distance. We
 264 define as relevant the images belonging to same class, while as irrelevant the
 265 images belonging to different classes.

266 Let $\mathcal{I} = \{\mathbf{I}_i, i = 1, \dots, N\}$ be a set of N images of the search set provided with
 267 relevance information, and $\mathbf{x} = F_L(\mathbf{I})$ the output of the L layer of the pretrained
 268 CNN model on an input image \mathbf{I} . Then we denote by $\mathcal{X} = \{\mathbf{x}_i, i = 1, \dots, N\}$ the set
 269 of N feature representations emerged in the L layer, by $\mathcal{R}^i = \{\mathbf{r}_k, k = 1, \dots, K^i\}$ the
 270 set of K^i relevant representations of the i -th image and by $\mathcal{C}^i = \{\mathbf{c}_j, j = 1, \dots, L^i\}$
 271 the set of L^i irrelevant representations. We compute the mean vector of the m
 272 nearest representations of \mathcal{R}^i to the certain image representation \mathbf{x}_i , and the mean
 273 vector of the l nearest representations of \mathcal{C}^i to \mathbf{x}_i , and we denote them by $\boldsymbol{\mu}_+^i$
 274 and $\boldsymbol{\mu}_-^i$, respectively. Then, the new target representations for the images of \mathcal{I}
 275 can be determined by solving the following optimization problems:

$$\max_{\mathbf{x}_i \in \mathcal{X}} \mathcal{J}^+ = \max_{\mathbf{x}_i \in \mathcal{X}} \sum_{i=1}^N \frac{\mathbf{x}_i^\top \boldsymbol{\mu}_+^i}{\|\mathbf{x}_i\| \|\boldsymbol{\mu}_+^i\|}, \quad (6)$$

$$\min_{\mathbf{x}_i \in \mathcal{X}} \mathcal{J}^- = \min_{\mathbf{x}_i \in \mathcal{X}} \sum_{i=1}^N \frac{\mathbf{x}_i^\top \boldsymbol{\mu}_-^i}{\|\mathbf{x}_i\| \|\boldsymbol{\mu}_-^i\|}, \quad (7)$$

276 The normalized update rules for the v -th iteration can be formulated as:

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} + \zeta_1 \|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_+^i\| \left(\frac{\boldsymbol{\mu}_+^i}{\|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_+^i\|} - \frac{\mathbf{x}_i^{(v)\top} \boldsymbol{\mu}_+^i}{\|\mathbf{x}_i^{(v)}\|^3 \|\boldsymbol{\mu}_+^i\|} \mathbf{x}_i^{(v)} \right), \quad \mathbf{x}_i \in \mathcal{X} \quad (8)$$

and

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} - \beta_1 \|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_-^i\| \left(\frac{\boldsymbol{\mu}_-^i}{\|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_-^i\|} - \frac{\mathbf{x}_i^{(v)\top} \boldsymbol{\mu}_-^i}{\|\mathbf{x}_i^{(v)}\|^3 \|\boldsymbol{\mu}_-^i\|} \mathbf{x}_i^{(v)} \right), \quad \mathbf{x}_i \in \mathcal{X} \quad (9)$$

277

278 Consequently, the combinatory normalized update rule, deriving by adding the
279 equations (8) and (9) can be formulated as:

$$\begin{aligned} \mathbf{x}_i^{(v+1)} = & \mathbf{x}_i^{(v)} + \zeta \|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_+^i\| \left(\frac{\boldsymbol{\mu}_+^i}{\|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_+^i\|} - \frac{\mathbf{x}_i^{(v)\top} \boldsymbol{\mu}_+^i}{\|\mathbf{x}_i^{(v)}\|^3 \|\boldsymbol{\mu}_+^i\|} \mathbf{x}_i^{(v)} \right) \\ & - \beta \|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_-^i\| \left(\frac{\boldsymbol{\mu}_-^i}{\|\mathbf{x}_i^{(v)}\| \|\boldsymbol{\mu}_-^i\|} - \frac{\mathbf{x}_i^{(v)\top} \boldsymbol{\mu}_-^i}{\|\mathbf{x}_i^{(v)}\|^3 \|\boldsymbol{\mu}_-^i\|} \mathbf{x}_i^{(v)} \right), \quad \mathbf{x}_i \in \mathcal{X} \end{aligned} \quad (10)$$

280 Thus, as in the previous approach, using the above target representations we
281 retrain the neural network on the images provided with relevance information
282 using backpropagation.

283 3.3. Relevance Feedback Based Retraining

284 The idea of this proposed approach is rooted in the relevance feedback phi-
285 losophy. In general, relevance feedback refers to the ability of users to impart
286 their judgement regarding the relevance of search results to the system. Then,
287 the system can use this information to ameliorate its performance [50, 51]. In
288 this proposed retraining approach we consider information from different users'
289 feedback. This information consists of queries and relevant and irrelevant images
290 to these queries. Then, our goal is to modify the model parameters in order to
291 maximize the cosine similarity between a specific query and its relevant images
292 and minimize the cosine similarity between it and its irrelevant ones.

293 Let us denote by $\mathcal{Q} = \{\mathbf{Q}_k, k = 1, \dots, K\}$ a set of queries, $\mathcal{I}_+^k = \{\mathbf{I}_i, i = 1, \dots, Z\}$
294 a set of relevant images to a certain query, by $\mathcal{I}_-^k = \{\mathbf{I}_j, j = 1, \dots, O\}$ a set of
295 irrelevant images, by $\mathbf{x} = F_L(\mathbf{I})$ the output of the L layer of the pretrained CNN

296 model on an input image \mathbf{I} , and by $\mathbf{q} = F_L(\mathbf{Q})$ the output of the L layer on a
 297 query. Then we denote by $\mathcal{X}_+^k = \{\mathbf{x}_i, i = 1, \dots, Z\}$ the set of feature representations
 298 emerged in L layer of Z images that have been qualified as relevant by a user,
 299 and by $\mathcal{X}_-^k = \{\mathbf{x}_j, j = 1, \dots, O\}$ the set of O irrelevant feature representations.

300 The new target representations for the relevant and irrelevant images can be
 301 respectively determined by solving the following optimization problems:

$$\max_{\mathbf{x}_i \in \mathcal{X}_+^k} \mathcal{J}^+ = \max_{\mathbf{x}_i \in \mathcal{X}_+^k} \sum_{i=1}^Z \frac{\mathbf{x}_i^\top \mathbf{q}^k}{\|\mathbf{x}_i\| \|\mathbf{q}^k\|}, \quad (11)$$

$$\min_{\mathbf{x}_j \in \mathcal{X}_-^k} \mathcal{J}^- = \min_{\mathbf{x}_j \in \mathcal{X}_-^k} \sum_{j=1}^O \frac{\mathbf{x}_j^\top \mathbf{q}^k}{\|\mathbf{x}_j\| \|\mathbf{q}^k\|}, \quad (12)$$

302 The normalized update rules for the v -th iteration can be formulated as:

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} + \alpha \|\mathbf{x}_i^{(v)}\| \|\mathbf{q}^k\| \left(\frac{\mathbf{q}^k}{\|\mathbf{x}_i^{(v)}\| \|\mathbf{q}^k\|} - \frac{\mathbf{x}_i^{(v)\top} \mathbf{q}^k}{\|\mathbf{x}_i^{(v)}\|^3 \|\mathbf{q}^k\|} \mathbf{x}_i^{(v)} \right), \quad \mathbf{x}_i \in \mathcal{X}_+^k \quad (13)$$

and

$$\mathbf{x}_j^{(v+1)} = \mathbf{x}_j^{(v)} - \alpha \|\mathbf{x}_j^{(v)}\| \|\mathbf{q}^k\| \left(\frac{\mathbf{q}^k}{\|\mathbf{x}_j^{(v)}\| \|\mathbf{q}^k\|} - \frac{\mathbf{x}_j^{(v)\top} \mathbf{q}^k}{\|\mathbf{x}_j^{(v)}\|^3 \|\mathbf{q}^k\|} \mathbf{x}_j^{(v)} \right), \quad \mathbf{x}_j \in \mathcal{X}_-^k \quad (14)$$

303

304 Similar to the other approaches, using the above representations as targets in
 305 the layer of interest, we retrain the neural network on the set of relevant and
 306 irrelevant images.

307 4. Experiments

308 In this Section we present the experiments conducted in order to assess the
 309 performance of the proposed method. Firstly, a brief description of the evaluation
 310 metrics and the datasets is provided. Subsequently, we describe the experimental
 311 details of each approach, and finally we demonstrate the experimental results.

312 *4.1. Evaluation Metrics*

313 Throughout this paper we use 4 evaluation metrics: precision, recall, mean
314 Average Precision (mAP), and top-N score. The definitions of the above metrics
315 follow below:

$$Precision = \frac{n. \text{ of Relevant Retrieved Images}}{n. \text{ of Retrieved Images}} \quad (15)$$

$$Recall = \frac{n. \text{ of Relevant Retrieved Images}}{n. \text{ of Relevant Images}} \quad (16)$$

316 Mean Average Precision is the mean value of the Average Precision (AP) of
317 all the queries. The definition of AP for the i -th query is formulated as follows:

$$AP_i = \frac{1}{Q_i} \sum_{n=1}^N \frac{R_i^n}{n} t_n^i, \quad (17)$$

318 where Q_i is the total number of relevant images for the i -th query, N is the
319 total number of images of the search set, R_i^n is the number of relevant retrieved
320 images within the n top results; t_n^i is an indicator function with $t_n^i = 1$ if the n -th
321 retrieved image is relevant to the i -th query, and $t_n^i = 0$ otherwise.

322 Finally, top- N score refers to the average number of same-object images,
323 within the top- N ranked images.

324 *4.2. Datasets*

325 **Paris 6k** [52]: consists of 6392 images (20 of the 6412 provided images
326 are corrupted) collected from Flickr by searching for particular Paris landmarks.
327 The collection has been manually annotated to generate a comprehensive ground
328 truth for 11 different landmarks, each represented by 5 possible queries. Images
329 are assigned one of four possible queries: good, ok, junk and absent. Good
330 and ok images are considered as positive examples, absent as negative examples
331 while junk images as null examples. Following the standard evaluation protocol
332 we measure the retrieval performance in mAP. Like in most CNN-based works
333 [28, 27, 29, 34, 31] we use the full queries for the retrieval. The query images are

334 not considered in the search set in the retrieval procedure, and neither used in
335 the phase of model retraining. We show some example images in Fig. 3.



Figure 3: Sample images of the Paris 6k dataset

336 **UKBench** [53]: contains 10200 images of objects divided into 2550 classes.
337 Each class consists of 4 images. All 10200 images are used as queries. The
338 performance is reported as top-4 score, which is a number between 0 and 4.
339 Samples are provided in Fig. 4.



Figure 4: Sample images of the UKBench dataset

340 **UKBench-2**: since our method performs learning and the UKBench dataset
341 does not provide a discrete set of queries, we hold out one image per class,
342 forming a search set of 7650 images and a set of 2550 queries. As in UKBench,
343 we use the top-3 score for the evaluation, which is a number between 0 and 3.

344 4.3. Experimental Setup

345 The proposed method was implemented using the Caffe Deep Learning frame-
346 work, [54]. As mentioned before, in our experiments we utilize either the CONV5

347 or the CONV4 layer for the feature extraction. Additionally, in the model retrain-
 348 ing phase we replace the ReLU layer, that follows the utilized convolutional layer
 349 with a PRELU layer [55] which is initialized randomly. Furthermore, since the
 350 first layers of CaffeNet trained on ImageNet learned more generic feature repre-
 351 sentations, all the previous convolutional layers remain unchanged, and we train
 352 only the layer of interest, restricting significantly the training cost. Finally, we
 353 use the adaptive moment estimation algorithm (Adam) [56], instead of the simple
 354 gradient descent for the network optimization, with the default parameters. All
 355 results obtained using cosine distance.

356 In Table 1 we present the results of our investigation regarding the pooling
 357 methods. That is, we report the top-3 Score for UKBench-2 dataset on the CONV5
 358 layer, using different pooling methods. As it is shown the max-pooling attains
 359 superior performance over the sum and stochastic pooling.

Pooling Method	Score
Max	2.615
Sum	2.50
Stochastic	2.572

Table 1: UKBench-2: Top-3 Score for various pooling methods

We note that we can also utilize other distance metrics. Existing CBIR ap-
 proaches usually use either cosine distance, *e.g.* [29, 36], or Euclidean distance
 [27, 28]. We also conducted experiments using the Euclidean distance. The choice
 of the distance metric, affects the optimization objective for the retargeting proce-
 dure. That is, if we consider the Euclidean distance *e.g.* in the FU approach, the
 optimization problem of (2), is replaced by the following one:

$$\min_{\mathbf{x}_i \in \mathcal{X}} \mathcal{J} = \min_{\mathbf{x}_i \in \mathcal{X}} \sum_{i=1}^N \|\mathbf{x}_i - \boldsymbol{\mu}_i\|_2^2 \quad (18)$$

Hence, following the gradient, the update rule for the ν -th iteration for each
 image can then be formulated as:

$$\mathbf{x}_i^{(\nu+1)} = \mathbf{x}_i^{(\nu)} - 2\eta(\mathbf{x}_i^{(\nu)} - \boldsymbol{\mu}_i), \quad \mathbf{x}_i \in \mathcal{X} \quad (19)$$

360 where the parameter $\eta \in [0, 0.5]$ controls the desired distance from the n nearest
 361 representations.

Correspondingly, the update rule for the v -th iteration for each image, for the RRI approach is given by the equation:

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} - (1 - \beta)(\mathbf{x}_i^{(v)} - \boldsymbol{\mu}_+^i) + \beta(\mathbf{x}_i^{(v)} - \boldsymbol{\mu}_-^i), \quad \mathbf{x}_i \in \mathcal{X} \quad (20)$$

362 where the parameter $\beta = 1 - \zeta, \in [0, 1]$ controls the desired distance both from the
 363 relevant and the irrelevant representations.

Finally, the update rules for the v -th iteration for each image, for the RF approach are given by the following equations:

$$\mathbf{x}_i^{(v+1)} = \mathbf{x}_i^{(v)} - 2\alpha(\mathbf{x}_i^{(v)} - \mathbf{q}^k), \quad \mathbf{x}_i \in \mathcal{X}_+^k \quad (21)$$

and

$$\mathbf{x}_j^{(v+1)} = \mathbf{x}_j^{(v)} + 2\alpha(\mathbf{x}_j^{(v)} - \mathbf{q}^k), \quad \mathbf{x}_j \in \mathcal{X}_-^k \quad (22)$$

364 where the parameter $\alpha \in [0, 0.5]$ controls the desired distance from the query
 365 representation.

366 The baseline CaffeNet’s results on the CONV5 layer utilizing the Euclidean
 367 distance is 0.5227 against 0.5602 in Paris 6k dataset, and 2.5286 against 2.6154
 368 in UKBench-2 dataset. We also applied the proposed FU approach on the CONV5
 369 layer, setting the same parameters, on both the UKBench-2 and Paris 6k datasets.
 370 The experimental results are illustrated in Fig. 5, 6. As we can observe the cosine
 371 similarity attains superior performance over the Euclidean distance in both the
 372 considered cases.

373

374 In the following we present the selected parameters for each of the proposed
 375 approaches.

376 4.3.1. Fully Unsupervised

377 First, in the UKBench-2 dataset, we fix the number of nearest representations,
 378 n , in (1) to 1 and the retargeting step to 2000 iterations, and we examine the
 379 effect of the parameter η in (5). Thus, in Fig. 7 we illustrate the top-3 Score at

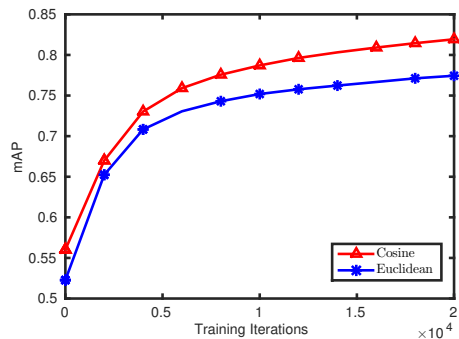
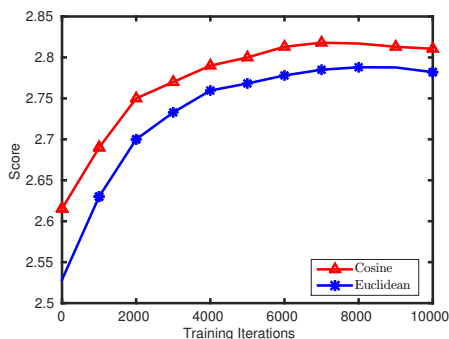


Figure 5: UKBench-2: Comparison of Euclidean and Cosine distances, on the FU approach on CONV5 layer
 Figure 6: Paris 6k: Comparison of Euclidean and Cosine distances, on the FU approach on CONV5 layer

380 each iteration of the training process for different values of η . Next, we fix the
 381 parameter η to 0.6, and we perform experiments for different numbers of nearest
 382 representations, n . Experimental results are shown in Fig. 8. Finally, for fixed
 383 values of η and nearest representations, we vary the step of retargeting. That is,
 384 we re-determine the targets for the model retraining, (5), with a certain step of
 385 iterations. The experimental results are illustrated in Fig. 9. Thus, we set the
 386 value η to 0.6, the number of nearest representations to 1, and the retargeting step
 387 to 1000 iterations. The same parameters are also used in the UKBench dataset.
 388 Finally, in the Paris 6k dataset, we also set the parameter η in (5) to 0.6 and for
 389 fixed retargeting step set of 2000 iterations, we examine the appropriate number
 390 of nearest representations. Experimental results are shown in Fig. 10. Then, for
 391 the optimal number of nearest representations, we examine the retargeting step.
 392 Experimental results are shown in Fig. 11. Hence, in Paris 6k dataset we set the
 393 value η to 0.6, the number of nearest representations to 20, and the retargeting
 394 step to 1000 iterations. Regarding the number of the nearest representations,
 395 n , in many datasets it is bounded by the number of samples that are available.
 396 For example, in the UKBench-2 the limit for the value of n is 2, since there are
 397 only three samples per class. Thus, in Fig. 8, we observe that when the value n
 398 exceeds the number of images per class, the performance drops. In the case of

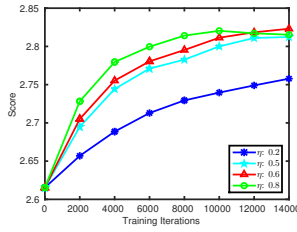


Figure 7: UKBench-2: Score for different values of η in (5)

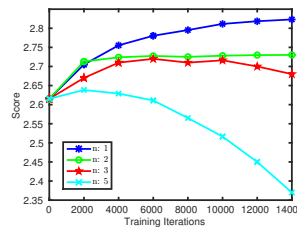


Figure 8: UKBench-2: Score for different numbers of nearest representations, n , in (1)

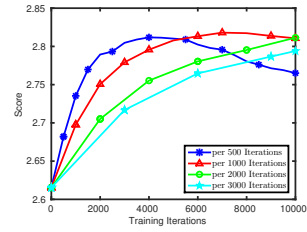


Figure 9: UKBench-2: Score for different retargeting steps

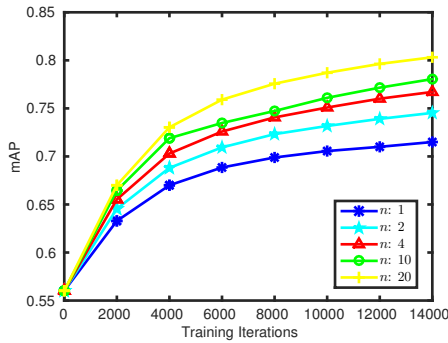


Figure 10: Paris 6k: mAP for different numbers of nearest representations, n , in 1

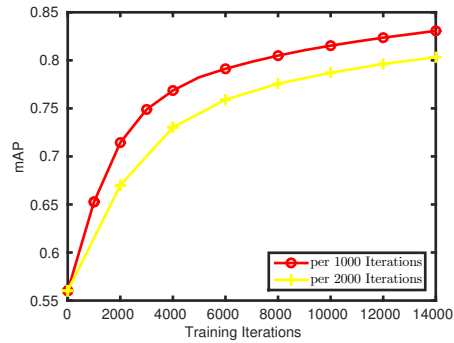


Figure 11: Paris 6k: mAP for different retargeting steps

399 Paris 6k dataset, where there are more samples available, we see in Fig.10 that
 400 the performance improves, as the value of n increases. However, an increased
 401 value of the parameter n comes with the cost of finding the n nearest neighbors of
 402 each training sample. For a big dataset this cost is critical, but it can be reduced
 403 using approximate nearest neighbor techniques. However, this research direction
 404 is beyond the scope of this work. Consequently, for a totally unknown dataset an
 405 investigation for the value of n between 5 and 10 is a good compromise, however
 406 there is also the most safe choice of setting the value 1, which improves the
 407 performance in any case.

408 4.3.2. Retraining with Relevance Information

409 In the experiments of this approach, since the number of relevant represen-
 410 tations varies meaningfully across datasets, we formulate the new target repre-
 411 sentations for the model retraining with respect to each relevant and 5 nearest

412 irrelevant images of each image. The retargeting step is set to 2000 iterations,
 413 the parameter ζ in (10) is set to 0.8, and the parameter β is set to 0.2.

414 4.3.3. Relevance Feedback based Retraining

415 In the experiments that conducted to validate the performance of the Rele-
 416 vance Feedback based approach, we consider for each of 2550 different users 1
 417 relevant and 1 irrelevant images for the UKBench-2 dataset, which forms a train-
 418 ing set of 5100 images. In Paris 6k dataset, 40 relevant (or equal to the number of
 419 relevant, if less) and 40 irrelevant images are considered for each of 55 different
 420 users. The parameter α in (13), (14) is set to 0.5.

421 4.4. Experimental Results

	Feature Representation	Dimension	Score
1	CaffeNet \Rightarrow CONV4	384	3.3608
2	CaffeNet \rightarrow FU(CONV4) \Rightarrow CONV4	384	3.6999
3	CaffeNet \rightarrow RRI(CONV4) \Rightarrow CONV4	384	3.9122
4	CaffeNet \rightarrow FU(CONV4) \rightarrow RRI(CONV4) \Rightarrow CONV4	384	3.9511
5	CaffeNet \Rightarrow CONV5	256	3.5595
6	CaffeNet \rightarrow FU(CONV5) \Rightarrow CONV5	256	3.8323
7	CaffeNet \rightarrow RRI(CONV5) \Rightarrow CONV5	256	3.8941
8	CaffeNet \rightarrow FU(CONV5) \rightarrow RRI(CONV5) \Rightarrow CONV5	256	3.9710

Table 2: UKBench

422 We illustrate the evaluation results for the three basic model retraining ap-
 423 proaches, as well as for the combinatory ones, where the RRI and RF approaches
 424 are applied on the FU optimized model.

425 In the following we denote by CONV5 and CONV4 the feature representations
 426 obtained from the CONV5 and CONV4 layer of the CNN model respectively. We
 427 denote by FU(L_T) the fully unsupervised retraining on the layer L_T with target
 428 representations obtained from the L_T layer, by RRI(L_T) the retraining with rele-
 429 vance information on the layer L_T with target representations obtained from the

	Feature Representation	Dimension	Score
1	CaffeNet \Rightarrow CONV4	384	2.4389
2	CaffeNet \rightarrow FU(CONV4) \Rightarrow CONV4	384	2.70
3	CaffeNet \rightarrow RRI(CONV4) \Rightarrow CONV4	384	2.8624
4	CaffeNet \rightarrow RF(CONV4) \Rightarrow CONV4	384	2.4792
5	CaffeNet \rightarrow FU(CONV4) \rightarrow RRI(CONV4) \Rightarrow CONV4	384	2.9058
6	CaffeNet \rightarrow FU(CONV4) \rightarrow RF(CONV4) \Rightarrow CONV4	384	2.7627
7	CaffeNet \Rightarrow CONV5	256	2.6154
8	CaffeNet \rightarrow FU(CONV5) \Rightarrow CONV5	256	2.8106
9	CaffeNet \rightarrow RRI(CONV5) \Rightarrow CONV5	256	2.8831
10	CaffeNet \rightarrow RF(CONV5) \Rightarrow CONV5	256	2.72
11	CaffeNet \rightarrow FU(CONV5) \rightarrow RRI(CONV5) \Rightarrow CONV5	256	2.9086
12	CaffeNet \rightarrow FU(CONV5) \rightarrow RF(CONV5) \Rightarrow CONV5	256	2.8361

Table 3: UKBench-2

⁴³⁰ L_T layer, and correspondingly by $RF(L_T)$ the relevance feedback based retraining.
⁴³¹ We use consecutive arrows to describe the retraining pipeline of our approaches,
⁴³² and the implication arrow to show the final feature representation employed for
⁴³³ the retrieval procedure. Thus, $CaffeNet \Rightarrow CONV5$ implies that we obtain the
⁴³⁴ CONV5 representations from the CaffeNet model and we use them for the re-
⁴³⁵ trieval procedure, while $CaffeNet \rightarrow RRI(CONV4) \Rightarrow CONV4$ denotes that we
⁴³⁶ formulate the target representations using the features emerged in the CONV4
⁴³⁷ CaffeNet layer and we retrain with relevance information the CONV4 layer of
⁴³⁸ the CaffeNet, then we extract the CONV4 representations of the modified model,
⁴³⁹ and we use them for the retrieval.

⁴⁴⁰ Tables 2 - 4 summarize the experimental results on all the datasets. The
⁴⁴¹ best performance is printed in bold. From the provided results several remarks
⁴⁴² can be drawn. Firstly, we observe that each retraining approach improves the
⁴⁴³ baseline results of CaffeNet in all the used datasets. Furthermore, we can no-
⁴⁴⁴ tice that in all the datasets the CONV5 retraining achieves better performance.

	Feature Representation	Dimension	mAP
1	CaffeNet \Rightarrow CONV4	384	0.4589
2	CaffeNet \rightarrow FU(CONV4) \Rightarrow CONV4	384	0.7337
3	CaffeNet \rightarrow RRI(CONV4) \Rightarrow CONV4	384	0.9837
4	CaffeNet \rightarrow RF(CONV4) \Rightarrow CONV4	384	0.6325
5	CaffeNet \rightarrow FU(CONV4) \rightarrow RRI(CONV4) \Rightarrow CONV4	384	0.9715
6	CaffeNet \rightarrow FU(CONV4) \rightarrow RF(CONV4) \Rightarrow CONV4	384	0.8030
7	CaffeNet \Rightarrow CONV5	256	0.5602
8	CaffeNet \rightarrow FU(CONV5) \Rightarrow CONV5	256	0.8347
9	CaffeNet \rightarrow RRI(CONV5) \Rightarrow CONV5	256	0.9854
10	CaffeNet \rightarrow RF(CONV5) \Rightarrow CONV5	256	0.7101
11	CaffeNet \rightarrow FU(CONV5) \rightarrow RRI(CONV5) \Rightarrow CONV5	256	0.9859
12	CaffeNet \rightarrow FU(CONV5) \rightarrow RF(CONV5) \Rightarrow CONV5	256	0.9023

Table 4: Paris 6k

445 Additionally, we observe that the FU approach accomplishes remarkable results,
446 while in UKBench dataset this approach leads to state-of-the-art performance.
447 We also see that the other proposed methodologies applied on the modified via
448 the FU approach model indeed yield better retrieval results, as compared to the
449 CaffeNet’s employment, in any considered case except for the CONV4 modifi-
450 cation in Paris 6k dataset. Finally, we can observe that refined with relevance
451 information model accomplishes state-of-the-art performance in all the datasets,
452 while the relevance-feedback based model achieves considerably improved results
453 in all the used datasets.

454 More specifically, in Table 2 we show the experimental results of the proposed
455 retraining approaches in the UKBench dataset. First, we see that the baseline Caf-
456 feNet’s performance of the CONV5 representations is superior over the CONV4
457 one. Furthermore we observe that both the RRI and FU approaches improve sig-
458 nificantly the baseline performance, and also the RRI achieves better results than
459 the FU one, which is reasonable since the FU approach utilizes no information

460 for the model retraining. Finally we can see that the FU pretraining step boosts
461 the performance of the RRI approach on both the CONV5 and CONV4 layers.

462 Similar remarks can be drawn for the UKBench-2 dataset, in Table 3. Re-
463 garding the RF approach, we can see in the 4th and 10th rows that the method
464 indeed improves the CaffeNet retrieval results on both the CONV5 and CONV4
465 layers, but we observe that the improvement of the RF approach is not as notable
466 as the FU and RRI ones. We attribute this to the comparatively small training
467 set of the RF approach (5100 against 10200 images). In general, the number
468 of the relevant and irrelevant images that create the new dataset for the model
469 retraining, appears to be the key factor of the RF improvement.

470 Finally, in Table 4 we illustrate the experimental results on the Paris 6k
471 dataset. As previously, it is shown that the proposed approaches improve the
472 CaffeNet retrieval results. It is also shown, that the RRI approach in a single
473 training step can accomplish state-of-the-art performance (9th row). The FU re-
474 training scheme boosts the RF results, while in the case of the RRI retraining on
475 the FU modified model, the results are marginally improved for the CONV5 layer
476 (9th and 11th rows), and are slightly inferior for the CONV4 (3rd and 5th rows).
477 Finally, we observe that the RF approach performs comparatively poorly.

478 In Fig. 12 we provide the Precision-Recall curves of all the considered ap-
479 proaches for the Paris 6k datasets, utilizing the CONV5 layer. It is shown that
480 the proposed approaches can indeed achieve significantly enhanced results against
481 the baseline. It is also shown that the RF approach applied on the FU modified
482 model can accomplish considerably improved performance as compared to the
483 RF approach on the CaffeNet model, while this is not confirmed in the case of
484 the RRI approach on the FU retrained model, where the performance is almost
485 identical.

486 In Fig. 13,14 we provide some examples of the top three retrieved images
487 for certain queries of UKBench-2 dataset, using the baseline CONV5 CaffeNet's
488 features, and features obtained from our FU and RRI on FU retrained models
489 respectively. As it is illustrated, the proposed approaches improve the retrieval
490 results. Additionally we can see in the third example of the two figures that

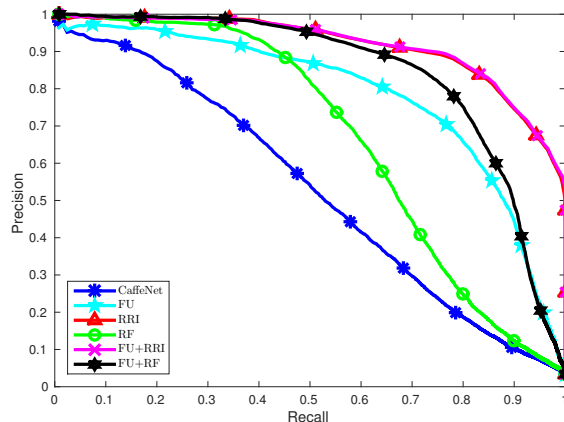


Figure 12: Paris 6k: Precision Recall

491 the FU retrained model returns two out of three relevant images, while the RRI
 492 approach applied on the FU one, returns all the relevant images to the specific
 493 query.

494 Finally, we compare our method against other CNN-based, as well as hand-
 495 crafted feature-based methods, on image retrieval. First, we provide a comparison
 496 against methods that utilize supervised learning with the proposed RRI approach,
 497 which utilizes supervised learning too, in Table 5. Second, we compare the pro-
 498 posed FU approach against other methods that do not utilize supervised learning
 499 in Table 6. Since the proposed RF approach is novel, and the competitive methods
 500 do not utilize information derived from users' feedback, the results are reported
 501 only in Tables 3-4, and we do not include it in the comparisons. We compare
 502 our method with the competitive ones, regardless the dimension of the compared
 503 feature representations. We also note that among the provided results, there are
 504 methods, that use information from multiple regions of the image, as in the case
 505 of R-MAC, [33], and Deep Image Retrieval [35]. To the best of our knowledge, the
 506 proposed method outperforms every other competitive method. Methods marked
 507 with * use the cropped queries in Paris 6k dataset.

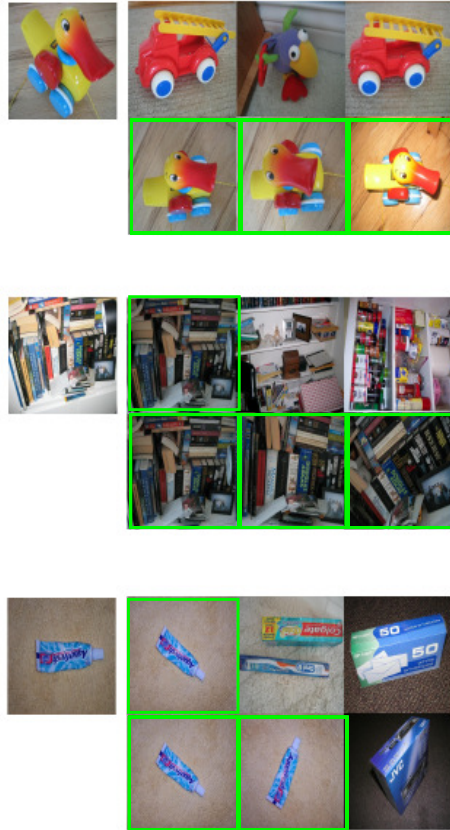


Figure 13: For each of the three sets of images the query image is the first one of the top row and the images that follow in the top row are the first 3 retrieved using the baseline CONV5 representation. The top 3 retrieved images using the FU approach on the CONV5 layer are shown in the second row for the same query

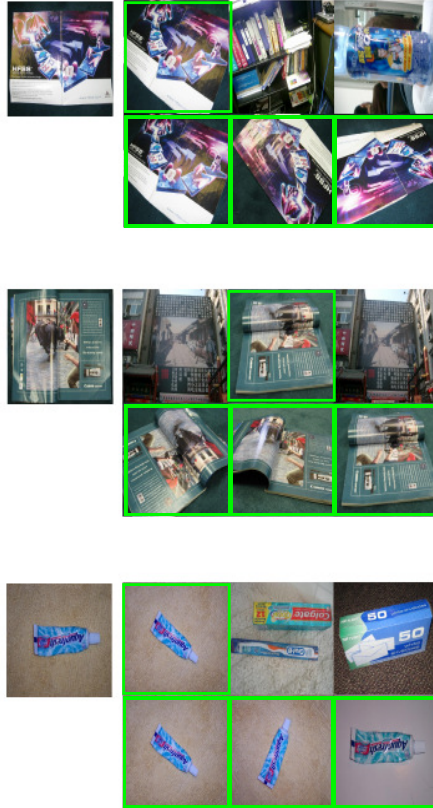


Figure 14: For each of the three sets of images the query image is the first one of the top row and the images that follow in the top row are the first 3 retrieved using the baseline CONV5 representation. The top 3 retrieved images using the FU→RRI approach on the CONV5 layer are shown in the second row for the same query

Method	Dim	Paris 6k	UKBench
Neural Codes [27]	4096	-	3.56
Neural Codes [27]	256	-	3.35
ReDSL.FC1 [29]	4096	0.9474	-
Deep Image Retrieval [35]	512	0.871	-
Ours	256	0.9859	3.9710

Table 5: Comparison against other supervised methods

Method	Dim	Paris 6k	UKBench
CVLAD* [57]	64k	-	3.62
BOW * [58]	200k	0.46	2.81
CNNaug-ss [28]	4k-15k	0.795	3.644
Spoc [31]	256	-	3.65
Fine-residual VLAD [8]	256	-	3.43
Multi-layer [37]	100k	-	3.69
CNN-VLAD [34]	128	0.694	-
R-MAC* [33]	512	0.83	-
R-MAC* [33]	256	0.729	-
CroW* [32]	256	0.765	-
CRB-CNN-16 [38]	512	-	3.56
Ours	256	0.8347	3.8323

Table 6: Comparison against other unsupervised methods

508 5. Conclusions

509 In this paper we proposed a model retraining methodology for enhancing the
510 deep convolutional representations in the retrieval domain. The proposed method
511 suggests three retraining approaches relying on the available information. Thus,
512 if no information is available, the Fully Unsupervised retraining approach is pro-
513 posed, if the labels are available the Retraining with Relevance Information, and
514 finally if users' feedback is available the Relevance Feedback based retraining is

515 proposed. We utilize a deep CNN model to obtain the convolutional representa-
516 tions and build the target representations according to each approach, and then
517 we retrain appropriately the network's weights. We also proposed a combinatory
518 retraining strategy, where the FU retraining approach can be utilized as a pre-
519 training step in order to boost the performance of the RRI and RF approaches. We
520 note that all the proposed approaches are applicable to the fully connected layers
521 too, as well as to other CNN architectures. We should also note that the proposed
522 methodology is applicable to any other CNN-based image retrieval method that
523 utilizes a CNN model to directly extract feature representations. Experimental re-
524 sults indicate the effectiveness of our method, with superior performance over the
525 state of the art approaches, either via a single retraining approach, or by utilizing
526 successive retraining processes.

527 **Acknowledgment**

528 Maria Tzelepi was supported by the General Secretariat for Research and
529 Technology (GSRT) and the Hellenic Foundation for Research and Innovation
530 (HFRI) (PhD Scholarship No. 2826).

531 **References**

- 532 [1] C. D. Manning, P. Raghavan, H. Schutze, Introduction to information re-
533 trieval, Cambridge University Press, 2008.
- 534 [2] N.-S. Chang, K. S. Fu, A relational database system for images, in: Pictorial
535 Information Systems, Springer, 1980, pp. 288–321.
- 536 [3] T. Kato, Database architecture for content-based image retrieval, in:
537 SPIE/IS&T 1992 symposium on electronic imaging: science and technology,
538 International Society for Optics and Photonics, 1992, pp. 112–123.
- 539 [4] R. Datta, J. Li, J. Z. Wang, Content-based image retrieval: approaches and
540 trends of the new age, in: Proceedings of the 7th ACM SIGMM international
541 workshop on Multimedia information retrieval, ACM, 2005, pp. 253–262.

- 542 [5] A. W. Smeulders, M. Worring, S. Santini, A. Gupta, R. Jain, Content-based
543 image retrieval at the end of the early years, *IEEE Transactions on Pattern*
544 *Analysis and Machine Intelligence* 22 (12) (2000) 1349–1380.
- 545 [6] F. Perronnin, Y. Liu, J. Sánchez, H. Poirier, Large-scale image retrieval with
546 compressed fisher vectors, in: *Proceedings of the IEEE Conference on Com-*
547 *puter Vision and Pattern Recognition*, IEEE, 2010, pp. 3384–3391.
- 548 [7] R. Arandjelovic, A. Zisserman, All about vlad, in: *Proceedings of the IEEE*
549 *Conference on Computer Vision and Pattern Recognition*, IEEE, 2013, pp.
550 1578–1585.
- 551 [8] Z. Liu, S. Wang, Q. Tian, Fine-residual vlad for image retrieval, *Neurocom-*
552 *puting* 173 (2016) 1183–1191.
- 553 [9] F. Jiang, H.-M. Hu, J. Zheng, B. Li, A hierarchal bow for image retrieval by
554 enhancing feature salience, *Neurocomputing* 175 (2016) 146–154.
- 555 [10] J. Sivic, A. Zisserman, Video Google: A text retrieval approach to object
556 matching in videos, in: *Proceedings of the International Conference on Com-*
557 *puter Vision*, Vol. 2, 2003, pp. 1470–1477.
- 558 [11] L. Deng, A tutorial survey of architectures, algorithms, and applications for
559 deep learning, *APSIPA Transactions on Signal and Information Processing*
560 3 (2014) e2.
- 561 [12] W. Liu, Z. Wang, X. Liu, N. Zeng, Y. Liu, F. E. Alsaadi, A survey of deep
562 neural network architectures and their applications, *Neurocomputing* 234
563 (2017) 11–26.
- 564 [13] Y. Guo, Y. Liu, A. Oerlemans, S. Lao, S. Wu, M. S. Lew, Deep learning for
565 visual understanding: A review, *Neurocomputing* 187 (2016) 27–48.
- 566 [14] G. Hinton, L. Deng, D. Yu, G. E. Dahl, A.-r. Mohamed, N. Jaitly, A. Senior,
567 V. Vanhoucke, P. Nguyen, T. N. Sainath, et al., Deep neural networks for

- 568 acoustic modeling in speech recognition: The shared views of four research
569 groups, *IEEE Signal Processing Magazine* 29 (6) (2012) 82–97.
- 570 [15] J. Ngiam, A. Khosla, M. Kim, J. Nam, H. Lee, A. Y. Ng, Multimodal deep
571 learning, in: *Proceedings of the 28th International Conference on Machine*
572 *Learning (ICML)*, 2011, pp. 689–696.
- 573 [16] B. B. Le Cun, J. S. Denker, D. Henderson, R. E. Howard, W. Hubbard, L. D.
574 Jackel, Handwritten digit recognition with a back-propagation network, in:
575 *Advances in neural information processing systems 2*, Morgan Kaufmann
576 Publishers Inc., 1990, pp. 396–404.
- 577 [17] Y. LeCun, L. Bottou, Y. Bengio, P. Haffner, Gradient-based learning applied
578 to document recognition, *Proceedings of the IEEE* 86 (11) (1998) 2278–2324.
- 579 [18] A. Krizhevsky, I. Sutskever, G. E. Hinton, Imagenet classification with deep
580 convolutional neural networks, in: *Advances in neural information process-*
581 *ing systems*, 2012, pp. 1097–1105.
- 582 [19] C. Szegedy, W. Liu, Y. Jia, P. Sermanet, S. Reed, D. Anguelov, D. Erhan,
583 V. Vanhoucke, A. Rabinovich, Going deeper with convolutions, in: *Proceed-*
584 *ings of the IEEE Conference on Computer Vision and Pattern Recognition*,
585 IEEE, 2015, pp. 1–9.
- 586 [20] Y. Taigman, M. Yang, M. Ranzato, L. Wolf, Deepface: Closing the gap to
587 human-level performance in face verification, in: *Proceedings of the IEEE*
588 *Conference on Computer Vision and Pattern Recognition*, IEEE, 2014, pp.
589 1701–1708.
- 590 [21] D. Ciresan, U. Meier, J. Schmidhuber, Multi-column deep neural networks for
591 image classification, in: *Proceedings of the IEEE Conference on Computer*
592 *Vision and Pattern Recognition*, IEEE, 2012, pp. 3642–3649.
- 593 [22] Y. LeCun, L. Jackel, L. Bottou, C. Cortes, J. S. Denker, H. Drucker, I. Guyon,
594 U. Muller, E. Sackinger, P. Simard, et al., *Learning algorithms for classifica-*

- 595 tion: A comparison on handwritten digit recognition, *Neural networks: the*
596 *statistical mechanics perspective* 261 (1995) 276.
- 597 [23] A. Toshev, C. Szegedy, Deeppose: Human pose estimation via deep neural
598 networks, in: *Proceedings of the IEEE Conference on Computer Vision and*
599 *Pattern Recognition*, IEEE, 2014, pp. 1653–1660.
- 600 [24] R. Girshick, J. Donahue, T. Darrell, J. Malik, Rich feature hierarchies for
601 accurate object detection and semantic segmentation, in: *Proceedings of the*
602 *IEEE Conference on Computer Vision and Pattern Recognition*, IEEE, 2014,
603 pp. 580–587.
- 604 [25] P. Sermanet, K. Kavukcuoglu, S. Chintala, Y. LeCun, Pedestrian detection
605 with unsupervised multi-stage feature learning, in: *Proceedings of the IEEE*
606 *Conference on Computer Vision and Pattern Recognition*, IEEE, 2013, pp.
607 3626–3633.
- 608 [26] J. Donahue, Y. Jia, O. Vinyals, J. Hoffman, N. Zhang, E. Tzeng, T. Darrell,
609 Decaf: A deep convolutional activation feature for generic visual recogni-
610 tion., in: *ICML*, 2014, pp. 647–655.
- 611 [27] A. Babenko, A. Slesarev, A. Chigorin, V. Lempitsky, Neural codes for image
612 retrieval, in: *European Conference on Computer Vision (ECCV)*, Springer,
613 2014, pp. 584–599.
- 614 [28] A. Razavian, H. Azizpour, J. Sullivan, S. Carlsson, Cnn features off-the-
615 shelf: an astounding baseline for recognition, in: *Proceedings of the IEEE*
616 *Conference on Computer Vision and Pattern Recognition Workshops*, 2014,
617 pp. 806–813.
- 618 [29] J. Wan, D. Wang, S. C. H. Hoi, P. Wu, J. Zhu, Y. Zhang, J. Li, Deep learning
619 for content-based image retrieval: A comprehensive study, in: *Proceedings of*
620 *the ACM International Conference on Multimedia*, ACM, 2014, pp. 157–166.

- 621 [30] Y. Gong, L. Wang, R. Guo, S. Lazebnik, Multi-scale orderless pooling of deep
622 convolutional activation features, in: European Conference on Computer
623 Vision (ECCV), Springer, 2014, pp. 392–407.
- 624 [31] A. Babenko, V. Lempitsky, Aggregating local deep features for image re-
625 trieval, in: Proceedings of the IEEE International Conference on Computer
626 Vision, 2015, pp. 1269–1277.
- 627 [32] Y. Kalantidis, C. Mellina, S. Osindero, Cross-dimensional weighting for ag-
628 gregated deep convolutional features, in: European Conference on Computer
629 Vision (ECCV) Workshops, Springer, 2015, pp. 685–701.
- 630 [33] G. Tolias, R. Sivic, H. Jégou, Particular object retrieval with integral max-
631 pooling of cnn activations, CoRR abs/1511.05879.
- 632 [34] J. Ng, F. Yang, L. Davis, Exploiting local features from deep networks for
633 image retrieval, in: Proceedings of the IEEE Conference on Computer Vision
634 and Pattern Recognition Workshops, 2015, pp. 53–61.
- 635 [35] A. Gordo, J. Almazán, J. Revaud, D. Larlus, Deep image retrieval: Learn-
636 ing global representations for image search, in: European Conference on
637 Computer Vision, Springer, 2016, pp. 241–257.
- 638 [36] E. Mohedano, K. McGuinness, N. E. O’Connor, A. Salvador, F. Marques,
639 X. Giro-i Nieto, Bags of local convolutional features for scalable instance
640 search, in: Proceedings of the 2016 ACM on International Conference on
641 Multimedia Retrieval, ACM, 2016, pp. 327–331.
- 642 [37] W. Yu, K. Yang, H. Yao, X. Sun, P. Xu, Exploiting the complementary
643 strengths of multi-layer cnn features for image retrieval, *Neurocomputing*
644 *237* (2017) 235–241.
- 645 [38] A. Alzu’bi, A. Amira, N. Ramzan, Content-based image retrieval with com-
646 pact deep convolutional features, *Neurocomputing* *249* (2017) 95–105.

- 647 [39] T.-Y. Lin, A. RoyChowdhury, S. Maji, Bilinear cnn models for fine-grained
648 visual recognition, in: Proceedings of the IEEE International Conference on
649 Computer Vision, 2015, pp. 1449–1457.
- 650 [40] Z. Li, J. Tang, Weakly supervised deep metric learning for community-
651 contributed image retrieval, IEEE Transactions on Multimedia 17 (11) (2015)
652 1989–1999.
- 653 [41] Z. Li, J. Tang, Weakly supervised deep matrix factorization for social image
654 understanding, IEEE Transactions on Image Processing 26 (1) (2017) 276–288.
- 655 [42] F. Schroff, D. Kalenichenko, J. Philbin, Facenet: A unified embedding for
656 face recognition and clustering, in: Proceedings of the IEEE Conference on
657 Computer Vision and Pattern Recognition, IEEE, 2015, pp. 815–823.
- 658 [43] L. Wang, Y. Li, S. Lazebnik, Learning deep structure-preserving image-text
659 embeddings, in: Proceedings of the IEEE Conference on Computer Vision
660 and Pattern Recognition, 2016, pp. 5005–5013.
- 661 [44] M. Tzelepi, A. Tefas, Exploiting supervised learning for finetuning deep cnns
662 in content based image retrieval, in: 23rd International Conference on Pat-
663 tern Recognition (ICPR), IEEE, 2016.
- 664 [45] K. Simonyan, A. Zisserman, Very deep convolutional networks for large-
665 scale image recognition, arXiv preprint arXiv:1409.1556.
- 666 [46] J. Long, E. Shelhamer, T. Darrell, Fully convolutional networks for semantic
667 segmentation, in: Proceedings of the IEEE Conference on Computer Vision
668 and Pattern Recognition, 2015, pp. 3431–3440.
- 669 [47] J. Redmon, A. Farhadi, Yolo9000: better, faster, stronger, arXiv preprint
670 arXiv:1612.08242.
- 671 [48] W. Liu, D. Anguelov, D. Erhan, C. Szegedy, S. Reed, C.-Y. Fu, A. C. Berg,
672 Ssd: Single shot multibox detector, in: European conference on computer
673 vision, Springer, 2016, pp. 21–37.

- 674 [49] E. M. Voorhees, The cluster hypothesis revisited, in: Proceedings of the 8th
675 annual international ACM SIGIR conference on Research and development
676 in information retrieval, ACM, 1985, pp. 188–196.
- 677 [50] Y. Rui, T. S. Huang, M. Ortega, S. Mehrotra, Relevance feedback: a power
678 tool for interactive content-based image retrieval, *IEEE Transactions on Cir-
679 cuits and Systems for Video Technology* 8 (5) (1998) 644–655.
- 680 [51] M. Tzelepi, A. Tefas, Relevance feedback in deep convolutional neural net-
681 works for content based image retrieval, in: Proceedings of the 9th Hellenic
682 Conference on Artificial Intelligence, SETN '16, ACM, 2016, pp. 27:1–27:7.
- 683 [52] J. Philbin, O. Chum, M. Isard, J. Sivic, A. Zisserman, Lost in quantiza-
684 tion: Improving particular object retrieval in large scale image databases,
685 in: Proceedings of the IEEE Conference on Computer Vision and Pattern
686 Recognition, IEEE, 2008, pp. 1–8.
- 687 [53] D. Nister, H. Stewenius, Scalable recognition with a vocabulary tree, in: Pro-
688 ceedings of the IEEE Conference on Computer Vision and Pattern Recogni-
689 tion, Vol. 2, IEEE, 2006, pp. 2161–2168.
- 690 [54] Y. Jia, E. Shelhamer, J. Donahue, S. Karayev, J. Long, R. Girshick,
691 S. Guadarrama, T. Darrell, Caffe: Convolutional architecture for fast fea-
692 ture embedding, in: Proceedings of the 22nd ACM international conference
693 on Multimedia, ACM, 2014, pp. 675–678.
- 694 [55] K. He, X. Zhang, S. Ren, J. Sun, Delving deep into rectifiers: Surpassing
695 human-level performance on imagenet classification, in: Proceedings of the
696 IEEE International Conference on Computer Vision, 2015, pp. 1026–1034.
- 697 [56] D. Kingma, J. Ba, Adam: A method for stochastic optimization, arXiv
698 preprint arXiv:1412.6980.
- 699 [57] W.-L. Zhao, H. Jégou, G. Gravier, Oriented pooling for dense and non-
700 dense rotation-invariant features, in: BMVC-24th British Machine Vision
701 Conference, 2013.

702 [58] H. Jégou, F. Perronnin, M. Douze, J. Sanchez, P. Perez, C. Schmid, Aggre-
703 gating local image descriptors into compact codes, IEEE Transactions on
704 Pattern Analysis and Machine Intelligence 34 (9) (2012) 1704–1716.